

Docket No.: A4072.0024/P024-A (PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Subhas C. Kundu, et al.

Application No.: 10/628,227

Filed: July 29, 2003 Art Unit: N/A

For: PHARMACEUTICAL SUSPENSIONS, COMPOSITIONS AND METHODS

Examiner: Not Yet Assigned

Confirmation No.:

INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned (37 CFR 1.97(b)(3)).

Those patent(s) or publication(s) which are marked with an asterisk (*) next to the Cite No. in the attached form PTO/SB/08 are not supplied because they were previously cited by or submitted to the Office in a prior application number 09/621,623, filed July 21, 2000 and relied upon in this application for an earlier filing date under 35 U.S.C. 120.

Application No.: 10/628,227 Docket No.: A4072.0024/P024-A

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 04-1073, under Order No. A4072.0024/P024-A. A duplicate copy of this paper is enclosed.

Dated: 11/5/03

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Respectfully submitted.

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002.OMB 0651-0031
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Substitute for form 1449A/PTO			TRADE	Complete if Known		
				Application Number	10/628,227	
INFORMATION DISCLOSURE				Filing Date	July 29, 2003	
8	STATEMENT E	3Y /	APPLICANT	First Named Inventor	Subhas C. Kundu, Ph.D.	
				Art Unit	Not yet assigned	
	(use as many she	eets as	necessary)	Examiner Name	Not yet assigned	
Sheet	1	of	1	Attorney Docket Number	A4072.0024/P024-A	

			U.S. PA	TENT DOCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant	Pages, Columns, Lines, Where Relevant
Initials*	No.1	Number-Kind Code ² (if known)	MM-DD-YYYY	of Cited Document	Passages or Relevant Figures Appear
	AA	2002/0028794*	03/07/2002	Brubaker et al.	
	AB	6,028,065*	02/22/2000	Ragunathan et al.	
	AC	5,712,310*	01/27/1998	Koch	
	AD	5,338,732*	08/16/1994	Atzinger et al.	
	AE	5,145,684*	09/08/1992	Liversidge et al.	
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	AN	3,356,573*	12/05/1967	Kirk et al.	

		FOREI	GN PATENT I	DOCUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

¹Applicant's unique citation designation number (optional). ² See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²		
	СО	Yu CD, Polyoxyethylene Castor Oil Derivatives, Handbook of Pharmaceutical Excipients, pp. 412-415, 3rd Ed., 1995*			
	CP	The Pharmacological Basis of Therapeutics; Agents Affecting Gastrointestinal Water Flux and Motility (Chap. 38), 1990*			
	CQ	The United States Pharmacopeia, United States Pharacopeial Convention, Inc.; Polyoxyl/Official Monographs, pp. 2500-2501, 2000.*			

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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